



PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM

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Signature

 Application Number
 10/705,392

 Filing Date
 November 12, 2003

 First Named Inventor
 Hogwood, et al.

 Art Unit
 3713

 Examiner Name
 TBA

 Attorney Docket Number
 02996.0003.CNUS02

Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Status Letter Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Identify below): **Extension of Time Request Terminal Disclaimer** Request for Refund **Express Abandonment Request** CD, Number of CD(s) _ Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Howrey Simon Arnold & White, LLP Customer No. 22930 Signature Printed name Derek J. Jardieu Reg. No. Date 44,483 2054605 CERTIFICATE OF TRANSMISSION/MAILING

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date

In re application of:

William Edward Hogwood, et al.

Appl. No. 10/705,392

Filed: November 12, 2003

For: System and Method for Interactive

Wagering From a Remote Location

Art Unit: 3713

Examiner: TBA

Atty. Docket: 02996.0003.CNUS02

Information Disclosure Statement

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO/SB/08a are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO/SB/08a based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted

herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

- ✓ 1. This Information Disclosure Statement is being filed;
 - □ a. Within three months of the U.S. filing date of a national application other than a continued prosecution application under §1.53(d);
 - □ b. Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
 - ✓ c. Before the mailing date of a first Office Action on the merits;
 - □ d. Before the mailing of a first Office Action after filing of a request for continued examination under § 1.115.

No statement under 37 C.F.R. § 1.97(e) or fee is required.

or;

ty +- +4

- □ 2. This Information Disclosure Statement is being filed after the period specified in paragraph 1(a)-1(d) above, but before the mailing date of a Final Rejection or Notice of Allowance, or action that otherwise closes prosecution in the application, and
 - □ a. I hereby state that each item of information contained in this Information

 Disclosure Statement was first cited in any communication from a foreign

 patent office in a counterpart foreign application not more than three

 months prior to the filing of this Information Disclosure Statement. 37

 C.F.R. § 1.97(e)(1), or
 - □ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2), or

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	□ c.	Attached is our Check No in the amount of \$ in payment of the
		fee under 37 C.F.R. § 1.17(p).
□ 3.	This 1	Information Disclosure Statement is being filed more than three months after the
		U.S. filing date and after the mailing date of a Final Rejection or Notice of
		Allowance, but on or before payment of the Issue Fee. Attached is our Check No.
		in the amount of \$ in payment of the fee under 37 C.F.R.
		§ 1.17(i), and
	□ a.	I hereby state that each item of information contained in this Information
		Disclosure Statement was first cited in any communication from a foreign
		patent office in a counterpart foreign application not more than three
		months prior to the filing of this Information Disclosure Statement. 37
		C.F.R. $\leq 1.97(e)(1)$, or
	□ b.	I hereby state that no item of information in this Information Disclosure Statement
		was cited in a communication from a foreign patent office in a counterpart
		foreign application, and, to my knowledge after making reasonable
		inquiry, no item of information contained in this Information Disclosure
		Statement was known to any individual designated in 37 C.F.R. § 1.56(c)
		more than three months prior to the filing of this Information Disclosure
		Statement. 37 C.F.R. § 1.97(e)(2).
□ 4.	Relev	ance of the non-English language document(s) is discussed in the present
		specification.
□ 5.	The d	ocument(s) was/were cited in a corresponding International Patent Application. An
		English language version of the International Search Report (ISR) is attached for
		the Examiner's information.
□ 6.	A cor	icise explanation of the relevance of the non-English language document(s) appears
		below:
□ 7.	The	Examiner's attention is directed to co-pending U.S. Patent Application No.
		, filed, which is directed to related technical subject matter.
		The identification of this U.S. Patent Application is not to be construed as a
		waiver of secrecy as to that application now or upon issuance of the present

Applicant(s) E. Hogwood et al. Appl. No.10/705,392

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application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.

✓ 8. Copies of U.S. patents and published applications are not attached since the application was filed after June 30, 2003. <u>US PTO OG Notices: August 5, 2003.</u>

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO/SB/08a, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing docket number 02996.0003.CNUS02

Respectfully submitted,

Derok / Janhin

Derek J. Jardieu (Reg. No.44,483)

Date: 10 Jul 05

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HOWREY SIMON ARNOLD & WHITE, LLP 2941 Fairview Park Drive, Box 7 Falls Church, VA 22042 (202) 783-0800

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Inder the Panerwork Re respond to a collection of information unless it contains a valid OMB control number Complete if Known Substitute for form 1449A/PTO Application Number 10/705,392 Filing Date November 12, 2003 INFORMATIO First Named Inventor Hogwood, et al. STATEMENT BY APPLICANT Art Unit 3713 Examiner Name **TBA** (Use as many sheets as necessary)

1

Sheet

Examiner

Signature

Attorney Docket Number

U. S. PATENT DOCUMENTS						
Examiner nitials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
		Number-Kind Code ^{2 (if known)}			Relevant Passages or Relevant Figures Appear	
	1	us-3,697,698	10-10-1972	Oswald et al.		
	2	us-3,810,627	05-14-1974	Levy		
	3	us-3,909,002	09-30-1975	Levy		
	4	us-4,339,798	07-13-1982	Hedges et al.		
	5	us-4,467,424	08-21-1984	Hedges, et al.		
	6	us-4,592,546	06-03-1986	Fascenda et al.		
	7	us-4,652,998	03-24-1987	Koza et al.		
	8	us-4,669,730	06-02-1987	Smail		
	9	us-4,760,527	07-26-1988	Sidley		
	10	us-4,799,683	01-24-1989	Bruner, Jr.		
	11	us-4,815,741	03-28-1989	Small		
	12	us-4,882,473	11-21-1989	Bergeron et al.		
	13	us-5,038,022	08-06-1991	Lucero		
	14	us-5,083,800	01-28-1992	Lockton		
	15	us-5,340,119	08-23-1994	Goldfarb		
	16	us-5,411,258	05-02-1995	Wilson et al.		
	17	us-5,462,275	10-31-1995	Lowe et al.		
	18	us-5,564,977	10-15-1996	Algie	•	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³⁻ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	19	GB 2 229 565 A	09-26-1990	Barcrest LTD		x	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached

Date

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Complete if Known Substitute for form 1449A/PTO Application Number 10/705.392 Filing Date November 12, 2003 INFORMATION DISCLOSURE First Named Inventor Hogwood, et al. STATEMENT BY APPLICANT Art Unit 3713 Examiner Name **TBA** (Use as many sheets as necessary)

Attorney Docket Number

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code ^{2 (if known)}				
	20	us-5,647,795	07-15-1997	Stanton		
	21	us-5,830,068	11-03-1998	Brenner et al.		
	22	us-6,089,981	07-18-2000	Brenner et al.		
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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Examiner				Date			

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